

Categorization Model

01.10.2012

Product Category	Product Type	Number
INVESTMENT PRODUCTS		
Capital Protection		11
	Capital Protection Certificate with Participation	1100
	Convertible Certificate	1110
	Barrier Capital Protection Certificate	1130
	Capital Protection Certificate with Coupon	1140
	Miscellaneous Capital Protection Certificates	1199
Yield Enhancement		12
	Discount Certificate	1200
	Barrier Discount Certificate	1210
	Reverse Convertible	1220
	Barrier Reverse Convertible	1230
	Express Certificate	1260
	Miscellaneous Yield Enhancement Certificates	1299
Participation		13
	Tracker Certificate	1300
	Outperformance Certificate	1310
	Bonus Certificate	1320
	Bonus Outperformance Certificate	1330
	Twin-Win Certificate	1340
	Miscellaneous Participation Certificates	1399
Investment Products with Reference Entities		14
	Reference Entity Certificate with Conditional Capital Protection	1410
	Reference Entity Certificate with Yield Enhancement	1420
	Reference Entity Certificate with Participation	1430
LEVERAGE PRODUCTS		
Leverage		20
	Warrant	2100
	Spread Warrant	2110
	Warrant with Knock-Out	2200
	Mini-Future	2210
	Constant Leverage Certificate	2300
	Miscellaneous Leverage Certificates	2099
Miscellaneous Products		9
	Miscellaneous Certificates	9999